

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/509,371

First Named Inventor

Takuya SUGAWARA

Filed

September 28, 2004

Docket No.

101249.55458US

Customer No.

: 23911

Title

METHOD FOR FORMING UNDERLYING INSULATION FILM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § \$ 1.97 AND 1.98

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

English abstracts summarizing the disclosure of each of the foreign publications are submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required.

Application No. 10,53,371 Information Disclosure Statement dated January 5, 2005

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. § 1.97(d)(2) and that the petition fee set forth in 37 C.F.R. § 1.17(i) in accordance with 37 C.F.R. § 1.97(d)(3) should be charged to Deposit Account 05-1323, Docket No.: 101249.55458US.

January 5, 2005

All Landon Control

Registration No. 24,392

Respectfully submitted

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HIC:tlm (354467)



PTO/SB/08a (08-03)

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Substitute	e for form 1449A/PTO			Complete if Known			
				Application Number	10/509,371		
Į.	NFORMATION DISC	LOS	URE	Filing Date	September 28, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Takuya SUGAWARA		
				Art Unit			
	(use as many sheets as ne	cessar	y)	Examiner Name			
Sheet	1	of	1	Attorney Docket Number	101249.55458US		

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code ² (if known)			Figures Appear	
_		US-2002/0014666	02-07-2002	OHMI et al.		
		US-				
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		JP 11-293470	10-26-1999	Tokyo Electron Ltd		
		JP 2000-294550	10-20-2000	Tokyo Electron Ltd		
		JP 2001-111000	04-20-2001	Samsung Electronics Co Ltd		1
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		US-2002/0014666	02-07-2002	OHMI et al.	rigures Appear
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FOREIGN PATENT DOCUMENTS							
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		JP 2000-294550	10-20-2000	Tokyo Electron Ltd			
		JP 2001-111000	04-20-2001	Samsung Electronics Co Ltd			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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